

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Case Docket No. RO9-99-091

21.02

October 1, 1999

Express Mail Label No. EL414495571US

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Armin Harold Christofferson, Leon Edward Gregg, James Lawrence Tilbury, and James Michael Van Oosbree

For: METHOD, SYSTEM, AND PROGRAM FOR ACCESSING FILES IN A FILE SYSTEM

Enclosed are:

- ☒ 17 pages of Application for Patent Including 10 Pages Specification; 1 Page Abstract; and 27 Claims  
☒ 2 No. of Sheets of Drawings Sheet(s) of drawings ( ☒ informal)  
☒ An assignment of the invention to International Business Machines Corporation ( ☐ Will follow.)  
☐ An associate power of attorney.  
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.  
☒ Declaration and Power of Attorney. ( ☐ Will follow.)  
☐ Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.  
☐ IDS enclosed. ☐ with references.

## CALCULATION OF FEES

ITEM		NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	27 - 20* =	7	X \$9 or X \$18	\$126	
B	INDEPENDENT CLAIMS FEE**	3 - 3* =	0	X \$39 or X 78	\$0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					\$126
D	MULTIPLE-DEPENDENT CLAIMS FEE				SMALL ENTITY FEE = \$130 LARGE ENTITY FEE = \$260	\$0
E	BASIC FEE*				SMALL ENTITY FEE = \$380 LARGE ENTITY FEE = \$760	\$760
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$886
G	ASSIGNMENT RECORDING FEE					\$ 40
	**LIST INDEPENDENT CLAIMS 1, 10, 19					

Please charge my Deposit Account No. 50-0585 in the amount of \$

A copy of this sheet is enclosed.

- ☒ A check in the amount of \$ 886 to cover the filing fee is enclosed.  
☒ Check for \$ 40 covering the Recordation of Assignment fee enclosed.  
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☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

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PATENT  
RO9-99-091  
21.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Armin Harold Christofferson, Leon  
Edward Gregg, James Lawrence  
Tilbury, James and Michael Van Oosbree  
Serial No.: --  
Filed: October 1, 1999  
For: **METHOD, SYSTEM, AND  
PROGRAM FOR ACCESSING FILES IN  
A FILE SYSTEM**

Examiner: --

Art Unit: --



CERTIFICATE OF MAILING

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

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I hereby certify that patent application papers, including specification, claims and 2 sheets of informal drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

October 1, 1999

(Date of Deposit)

Melinda E. Hallmark

(Name of person mailing paper or fee)

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